

Form 210A (10/06)

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MAY 25 2016

EPIQ BANKRUPTCY SOLUTIONS, LLC

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Falcon Private Bank Ltd

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Falcon Private Bank Ltd  
Pelikanstrasse 37  
P.O. Box 1376  
8021 Zurich  
Switzerland

Phone: 00 41 44 227 55 55

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Hyposwiss Private Bank Ltd

Name of Transferor

Court Claim # (if known): 55829.76

Date Claim Filed: 10/16/2009

Amount of Claim: USD 250'000.00

Portion of Claim Transferred (see

Schedule I): USD 250'000.00

Phone: 0041 71 231 34 13

Last Four Digits of Acct. #: 5837

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent  
M. Brande Lola Luksch

Date: 28.5.2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Falcon Private Bank Ltd.  
Pelikanstrasse 37  
P.O. Box 1376  
CH-8021 Zurich

**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Hyposwiss Private Bank Ltd**, ("Transferor") unconditionally and irrevocably transferred to **Falcon Private Bank Ltd** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829.76**) of **USD 250'000.00** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against **Lehman Brothers Holdings, Inc.** (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **October 7, 2015**.

**Hyposwiss Private Bank Ltd**

By: 

Name: **Anina Zürcher**

Title: **Member of Management**

By: 

Name: **Oriana Scheuss**

Title: **Member of Management**

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount of Claim related to Security</b>
XS0339537804	55829.76	10/16/2009	Lehman Brothers Treasury Co. BV	USD 250'000.00



## FALCON PRIVATE BANK

SWISS PRIVATE BANKING

Epiq Bankruptcy Solutions LLC  
Attn: Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Zurich, 23.05.2016

### Transfer of Claim

Dear All

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> for your information      | <input type="checkbox"/> for execution       | <input type="checkbox"/> returned with thanks |
| <input type="checkbox"/> for signature             | <input type="checkbox"/> for approval        | <input type="checkbox"/> for examination      |
| <input checked="" type="checkbox"/> for your files | <input type="checkbox"/> for statement       | <input type="checkbox"/> please contact me    |
| <input type="checkbox"/> according to your letter  | <input type="checkbox"/> as per conversation | <input type="checkbox"/> please return        |

Sincerely yours,  
Falcon Private Bank Ltd.

<https://www.ups.com/uis/create?ActionOriginPair=default...> 23.05.2016

y Hrgent

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L.LUKSCH 000 OFFICE POSTBACH 1376 8021 ZÜRICH SWITZERLAND SHIP TO: LEHMAN BROTHERS HOLDINGS CLAIMS 00000000000000 EPIQ BANKRUPTCY SOLUTIONS LLC 757 THIRD AVENUE 3RD FLOOR PROCESSING SHIP TO: EPIQ BANKRUPTCY SOLUTIONS, LLC NEW ADD 777 3RD AVE FL 12 NEW YORK NY 10017 6707	0.0 KG    ENV SHP#: 3293 51T3 GQ9 SHP WT: 0.0 KG DATR: 23 MAY 2016 24/May/2016 17:23 1007	1 OF 1
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 MAY 25 2016  
 EPIQ SYSTEMS